

“CHECK BEFORE YOU INVEST”

BEFORE investing your money, ask the person/company who is offering services (legal, investment, insurance, financial, etc.) to complete this form and leave it with you.

What product(s) are you offering? (Check all that apply)

<input type="checkbox"/> Financial	Type:	<input type="checkbox"/> Accountant	<input type="checkbox"/> Other:
<input type="checkbox"/> Insurance	Type:	<input type="checkbox"/> Annuities	<input type="checkbox"/> Other:
<input type="checkbox"/> Investment Advice	Type:	<input type="checkbox"/> Financial Planning	<input type="checkbox"/> Other:
<input type="checkbox"/> Investment	Type:	<input type="checkbox"/> Securities	<input type="checkbox"/> Other:
<input type="checkbox"/> Legal Services	Type:	<input type="checkbox"/> Living Trust	<input type="checkbox"/> Estate Planning <input type="checkbox"/> Other:
<input type="checkbox"/> Other:			

Who regulates or licenses this product/service?

<input type="checkbox"/> Securities Division, Office of the Secretary of State	<input type="checkbox"/> Professional Licensing Boards, Office of the Secretary of State
<input type="checkbox"/> Georgia Department of Insurance	<input type="checkbox"/> The State Bar of Georgia
<input type="checkbox"/> Other (please identify/explain): _____	

What license(s) do you hold that authorizes you to sell this product/service?

<u>LICENSE TYPE</u>	<u>LICENSE INFORMATION</u>
Insurance License	License No: _____
Securities (Broker) License	CRD No. _____
Investment Adviser	IARD No: _____ Licensed With: <input type="checkbox"/> Division of Securities <input type="checkbox"/> SEC
Attorney	State Bar No: _____
Accountant License	CPA No: _____
Other	Explain: _____

Seller/Agent Information

Seller/Agent Name: _____

Company/Business Name: _____

Company/Business Address: _____

Phone Number: _____ Today's Date: _____

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Helpful tips on how to become a more knowledgeable consumer.

Once the information on the front side of this form has been filled out and left with you, call the appropriate agency to confirm that the person/company holds a valid license (i.e. is **both licensed and authorized** to sell/offer the product to you) **BEFORE** you **INVEST** your money with anyone.

Office of the Secretary of State Division of Securities

(404)656-3920

(888)733-7427

www.sos.state.ga.us/securities

The Georgia Department of Insurance

(404)656-2070

<http://www.inscomm.state.ga.us/>

Office of the Secretary of State Professional Licensing Boards

(478)207-1400

www.sos.state.ga.us/plb/accountancy/

The State Bar of Georgia

(404) 527-8700

(800) 334-6865

www.gabar.org/

A knowledgeable consumer is a **safe** consumer.
Don't invest **until** you investigate.