



Georgia Secretary of State
Securities and Business Regulation
2 Martin Luther King, Jr. Drive, S.E.
Suite 802, West Tower
Atlanta, Georgia 30334
(404) 656-3920

<http://www.sos.state.ga.us/securities/>

PUBLIC COMPLAINT FORM

Your Information

Your Name:

Address:

Telephone: ()

Your Signature:

Date:

Please Mark Area(s) of Complaint

<input type="checkbox"/>	Securities	<input type="checkbox"/>	Cemeteries/Preneed Funeral Home/ Preneed Dealer
<input type="checkbox"/>	Securities Broker/Dealer	<input type="checkbox"/>	Cemeteries Merchandise Dealer
<input type="checkbox"/>	Securities Salesperson	<input type="checkbox"/>	Cemeteries Preneed Sales Agent
<input type="checkbox"/>	Securities Investment Advisor	<input type="checkbox"/>	Charitable Organization
<input type="checkbox"/>	Securities Investment Advisor Representative	<input type="checkbox"/>	Charities Paid Solicitor
<input type="checkbox"/>		<input type="checkbox"/>	Charities Solicitor Agent

Information Relating to Business or Individual Involved

Name

Registration Number

Telephone

Address

City

State

Zip Code

Names of other businesses or individuals involved (explain below):

Information Relating to Complaint

Date the business or individual contacted you?

How were you contacted (phone, main, in person, etc.)?

Date of investment/purchase/donation?

What did you invest in, purchase, or donate (explain)?

Did you sign any documents (if so, please explain)?

List all pertinent witnesses and their phone numbers.

Have you brought any legal action (if so, what)?

If you wish, you may attach more detailed information about your complaint. Send copies, not originals, of all materials to the address above, ATTN: Complaint Coordinator